

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 19, 2003.

Raymond A. Joao

RECEIVED

AUG 2 5 2003

JB008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: RAYMOND A. JOAO and ROBERT R. BOCK

SERIAL NO.: 09/489,657

FILED : JANUARY 21, 2000

FOR : APPARATUS AND METHOD FOR PROVIDING

ACCOUNT SECURITY

EXAMINER : S. CHENCINSKI

GROUP : 3628

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPLICANT'S STATEMENT OF THE SUBSTANCE OF THE EXAMINER INTERVIEW

Sir:

This is Applicant's Statement of the Substance of the Examiner Interview of April 23, 2003.

During the Examiner Interview, the Examiner gave Mr. Joao a copy of the Final Rejection. Mr. Joao indicated that he would file an RCE along with an Amendment containing new Claims directed at some of the subject matter disclosed in the application.

Respectfully Submitted,

Raymond A. Joao

Reg. No. 35,907

August 19, 2003

Raymond A. Joao, Esq. 122 Bellevue Place Yonkers, New York 10703 (914)969-2992

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITT for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 375.00

Signature

Under the Paperwork Reduction Act of 199

	THE LOT GIVES A CONTROL HOUSE
Co	mplete if Known
Application Number	09/489,657
Filing Date	JANUARY 21, 2000
First Named Inventor	RAYMOND A. JOAO
Examiner Name	S. CHENCINSKI
Art Unit	3628
Attorney Docket No.	JB008

Date

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check XX X redit card Money Other None	3. A	DDIT	ONA	. FEE	S	
Deposit Account:	<u>Large</u>	Entity	Small	Entity		
Deposit	Fee Code	Fee e (\$)	Fee Code	Fee (\$)	Fee Description	
Account Number	1051	٠.	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit	1052		2052		Surcharge - late provisional filing fee or	
Account Name					cover sheet	
The Director is authorized to: (check all that apply)	1053		1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1004	2,520 920*	1812	•	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1004	920	1804	920"	Requesting publication of SIR prior to Examiner action	I
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*		
to the above-identified deposit account.	1251	110	2251	55	Examiner action Extension for reply within first month	
FEE CALCULATION	1252		2252	205	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253		2253	465	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254		2254	725	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month	
1001 750 2001 375 Utility filing fee	1401	•	2401		Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402		2402		Filing a brief in support of an experi	IVE
1004 750 2004 375 Reissue filing fee	1403		2403		Request for oral hearing	1 W Comp
1005 160 2005 80 Provisional filing fee		1,510				5 2003
	1452	•	2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$)		1,300	2453			P 360
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from		1,300	2501		Utility issue fee (or reissue)	
Ext <u>ra Claim</u> s <u>below</u> Fee Paid	1502		2502		Design issue fee	
Total Claims	1503	630	2503	315	Plant issue fee	
Claims - 3** = L X =		130	1460	130	Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	40	Recording each patent assignment per property (times number of properties)][
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810) 27E	(37 CFR 1.129(a)) For each additional invention to be	<u> </u>
1204 84 2204 42 ** Reissue independent claims	'810	, /50	2010	, 313	examined (37 CFR 1.129(b))	
over original patent	180	1 750	2801	375	Request for Continued Examination (RCE)	375.00
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)		r fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Red	luced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 375	00.
SUBMITTED BY (Complete (if applicable))						
Name (Print/Type) RAYMOND A. JOAO		Registra (Attorney)		3.5	5,907 Telephone 914-969	-2992

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

loder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TOTE TO A NOMITTAL	
FEE TRANSMITTAL	Applic
₂₀₀ 🖁 for FY 2003	Filing
May 5 TOP FY 2003	First I

Effective J1/01/2003. Patent fees are subject to annual revision.

PARTY Deant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 639.00

Со	mplete if Known
Application Number	09/489,657
Filing Date	JANUARY 21, 2000
First Named Inventor	RAYMOND A. JOAO
Examiner Name	S. CHENCINSKI
Art Unit	3628
Attorney Docket No.	JB008

METHOD OF PAYMENT (check all that apply)				FEE	CALCULATION (continued)	
Check XXXCredit card Money Other None 3			ONAL	FEE	S	
Deposit Account:	<u>Large</u> I	Entity	Small	Entity		
Deposit	Fee Code	Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	reeralu
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1 840*	Requesting publication of SIR after	
to the above-identified deposit account.	1000	1,510	1000	1,010	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month	
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth months	
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply with month.	
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal	n a
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appear 5 20	03
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing	BAA
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute publicuse receiting	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,300	2453	650	Petition to revive - unintentional	
Fee from _		1,300	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims 77 -20** = 57 x 9.00 = 513.0	1502	470	2502		Design issue fee	
Independent 6 2** 3 v 42 h 126	0 ₁₅₀₃	630	2503		Plant issue fee	
Claims U - 3 - U - 3 - 120 - 1	⁰ 1460	130	1460	130	Petitions to the Commissioner	
· ·	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802 ⁻	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	9 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	0 375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802		1802		· · ·	
	Other	fee (sp	ecify) _			
SUBTOTAL (2) (\$) 639.00 **or number previously paid, if greater; For Reissues, see above	*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	
or manuscriptoriously paid, if grounding to intelligences, and above					· · · · · · · · · · · · · · · · · · ·	

SUBMITTED BY Registration No. Name (Print/Type) RAYMOND A JOAO 35,907 Telephone 914-969-2992 Attomey/Agent) Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

AUG 2 1 2003

PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of a collection of information unless it displays a valid OMB control.

FEE TRANSM for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Signature

(\$) 55.00

CONTRACTOR OF THE	THE STATE OF THE PERSON OF THE STATE OF THE
Co	emplete if Known
Application Number	09/489,657
Filing Date	JANUARY 21, 2000
First Named Inventor	RAYMOND A. JOAO
Examiner Name	S. CHENCINSKI
Art Unit	3628
Attorney Docket No.	JB008

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check XXX redit card Money Other None	3. ADDITIONAL FEES				
Deposit Account:	Large Entity Small Entity				
Deposit	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)				
Account Number	Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath	id			
Deposit	1052 50 2052 25 Surcharge - late mining ree or oath	\dashv			
Account Name	cover sheet	\dashv l			
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification				
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination				
Charge any additional fee(s) during the pendency of this application	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	_			
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after	Ш			
to the above-identified deposit account. FEE CALCULATION	Examiner action 1251 110 2251 55 Extension for reply within first month	<u>o</u> p			
1. BASIC FILING FEE	1252 410 2252 205 Extension for reply within second month	_][
Large Entity Small Entity	1253 930 2253 465 Extension for reply within third month][
Fee Fee Fee Fee Description Fee Paid	1254 1,450 2254 725 Extension for reply within fourth month				
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255 1,970 2255 985 Extension for reply within fifth month	$\Box I$			
1002 330 2002 165 Design filing fee	1401 320 2401 160 Notice of Appeal	71			
1003 520 2003 260 Plant filing fee	1402 320 2402 160 Filing a brief in support of an appeal	$\exists I$			
1004 750 2004 375 Reissue filing fee	1403 280 2403 140 Request for oral hearing	71			
1005 160 2005 80 Provisional filing fee		\neg L			
SUBTOTAL (1) (\$)					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue)	113			
Fee from Ext <u>ra Claim</u> s <u>below</u> <u>Fee Paid</u>	, , , , , , , , , , , , , , , , , , , ,	~1			
Total Claims20** = X =		(An			
Independent Claims - 3** = X =		44			
Multiple Dependent		$\dashv I$			
Large Entity Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	$\dashv I$			
Fee Fee Fee Fee Description	1806 180 1806 Submission of Information Disclosure Stmt	⊣!			
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021 40 8021 40 Recording each patent assignment per property (times number of properties)				
	1809 750 2809 375 Filing a submission after final rejection	$\neg 1$			
	(37 CFR 1.129(a))	- 			
and the same of th	1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))	_][
1204 84 2204 42 ** Reissue independent claims over original patent	1801 750 2801 375 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	$\exists \mathbb{L}$			
	Other fee (specify)	- 			
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 55.00	٦I			
Gridination previously paid, it greater, For Reissbes, see above					
SUBMITTED BY (Complete (if applicable)) Name (PrintType) RAYMOND A JOAO Registration No. 35 907 Talabara 914 969 2992					
Name (Print/Type) RAYMOND A. JOAO	Registration No. 35,907 Telephone 914-969-299	2			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.